

Department of Corrections Governor's FY 2016 and FY 2017 Recommendations

Staff Presentation to the House Finance
Committee
April 7, 2016

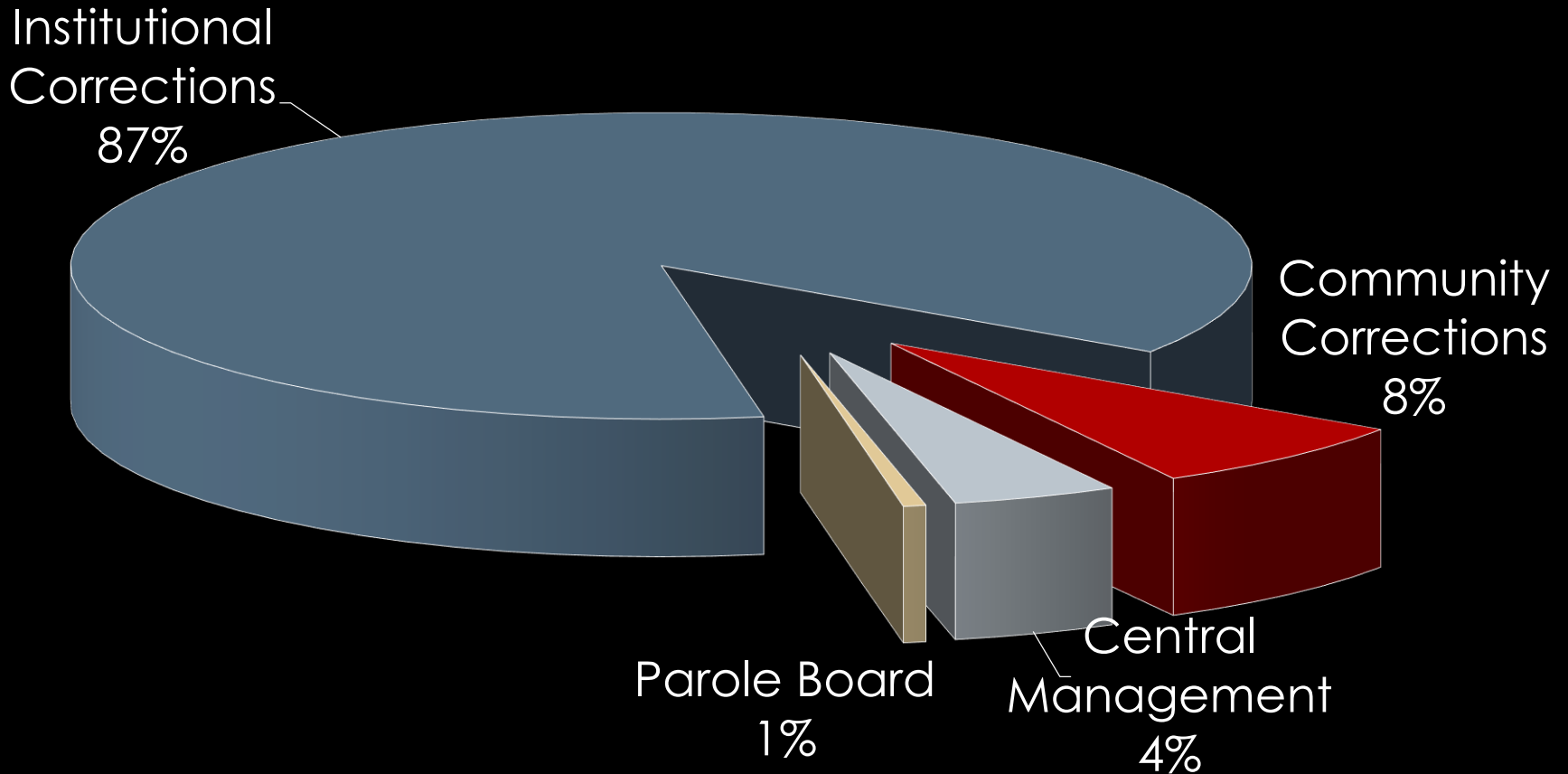
Major Programs

- Institutional Corrections (7 Facilities)
 - Custody & Security
 - Institutional Support
 - Institutional Based Rehab / Population Management Programs
 - Healthcare Services

Major Programs

- Community Corrections
- Central Management
- Parole Board

FY 2017 By Program



Summary By Fund Source

| (In millions) | Enacted | FY 2016 Gov. Rev. | FY 2017 Governor |
|---------------------|----------------|----------------------|---------------------|
| General Revenues | \$200.2 | \$204.4 | \$212.7 |
| Federal Funds | 1.3 | 1.9 | 1.1 |
| Restricted Receipts | 0.04 | 0.3 | 0.06 |
| Other Funds | 9.4 | 11.2 | 12.3 |
| Total | \$211.0 | \$217.7 | \$226.1 |

Summary By Fund Source

| Change to Enacted (In millions) | FY 2016 Gov. Rev. | FY 2017 Governor |
|------------------------------------|----------------------|---------------------|
| General Revenues | \$4.2 | \$12.5 |
| Federal Funds | 0.5 | (0.2) |
| Restricted Receipts | 0.2 | 0.0 |
| Other Funds | 1.8 | 2.8 |
| Total | \$6.7 | \$15.1 |

Budget Office Target

- General revenue target of \$187.0 million
 - Current services adjustment of \$2.0 million
 - 7.5% target reduction of \$15.1 million
 - Constrained budget is \$0.2 million less than the target
- Governor's recommendation is \$25.9 million above target

Population

- Majority of expenditures are for staff
- Greatest savings occur when population reductions allow for the closure of housing units and staff posts
 - Medium Price facility closed in 2011
 - Two units in minimum are currently closed

Population

- Maximum capacity of the prison is governed by the terms of an overcrowding lawsuit in 1995
 - Includes all beds
- Operational capacity includes all beds, except for hospital beds and a third of segregation beds

Population

- FY 2016 recommendation
 - 3,183 inmates, 109 less than requested and same as FY 2015
- FY 2017 recommendation
 - 3,200 inmates, 920 less than enacted
- Through March, average population of 3,091
 - 201 less than enacted
 - 109 less than recommended for FY 2017

Population

| | FY 06 | FY 07 | FY 08 | FY 09 | FY 10 | FY 11 |
|----------------------|--------------|--------------|--------------|--------------|--------------|--------------|
| Request | 3,299 | 3,200 | 2,583 | 3,803 | 4,008 | 3,709 |
| Gov. | 3,244 | 3,375 | 3,289 | 3,848 | 4,008 | 3,643 |
| Enacted | 3,244 | 3,375 | 3,289 | 3,848 | 3,767 | 3,450 |
| Rev. Req. | 3,375 | 3,723 | 4,018 | 3,869 | 3,669 | 3,350 |
| Gov. Rev. | 3,375 | 3,723 | 3,925 | 3,869 | 3,659 | 3,350 |
| Final | 3,475 | 3,755 | 3,925 | 3,788 | 3,551 | 3,273 |
| <i>Actual</i> | 3,510 | 3,771 | 3,860 | 3,773 | 3,502 | 3,273 |

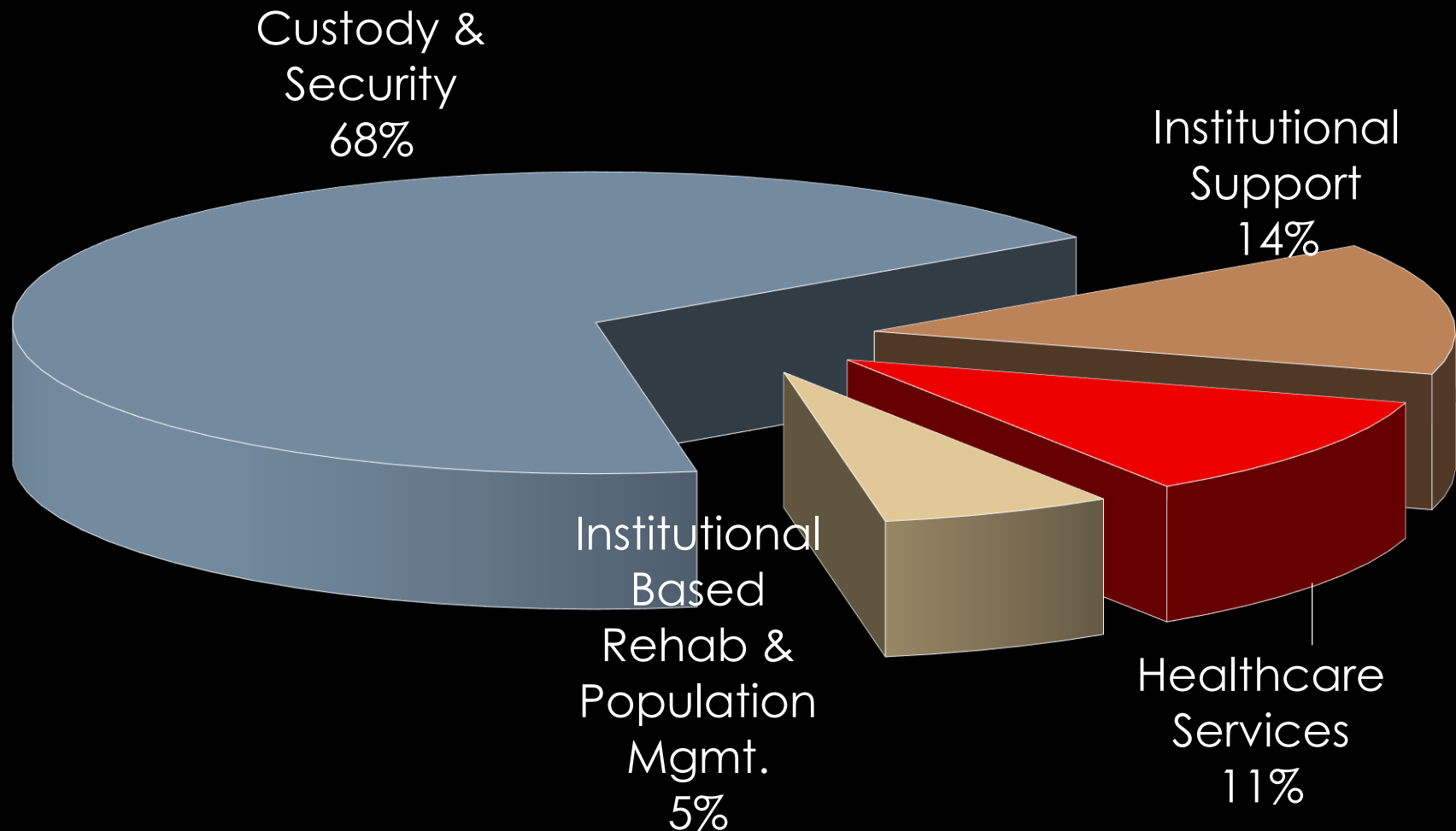
Population

| | FY 12 | FY 13 | FY 14 | FY 15 | FY 16 | FY 17 |
|---------------|--------------|--------------|--------------|--------------|-------|-------|
| Request | 3,416 | 3,231 | 3,152 | 3,170 | 3,292 | 3,260 |
| Gov. | 3,416 | 3,231 | 3,152 | 3,170 | 3,292 | 3,200 |
| Enacted | 3,239 | 3,194 | 3,152 | 3,170 | 3,292 | - |
| Rev. Req. | 3,264 | 3,146 | 3,192 | 3,239 | 3,206 | - |
| Gov. Rev. | 3,265 | 3,146 | 3,192 | 3,239 | 3,183 | - |
| Final | 3,192 | 3,146 | 3,192 | 3,239 | - | - |
| Actual | 3,191 | 3,160 | 3,214 | 3,183 | - | - |

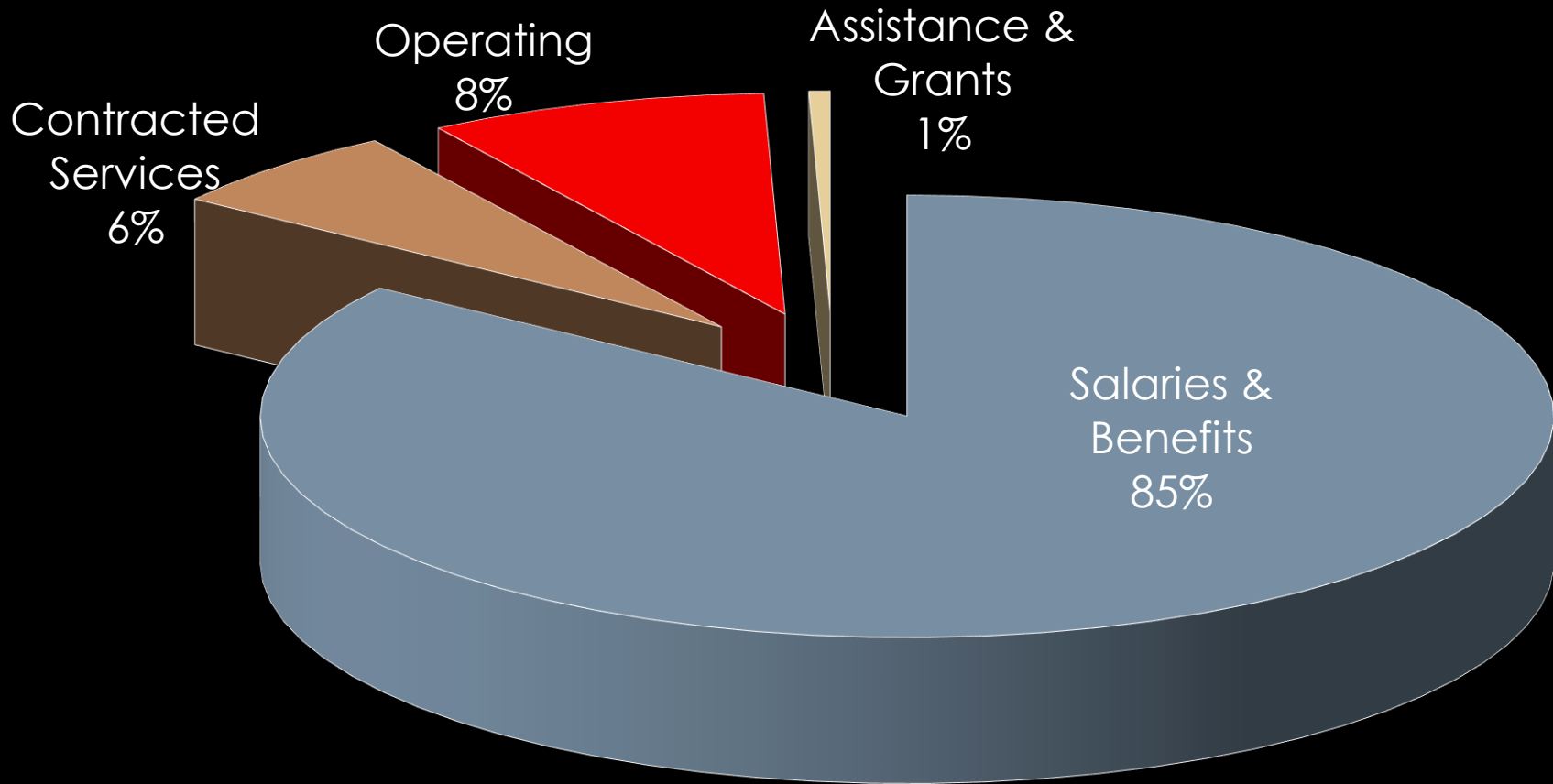
FY 2016 Population-March 2016

| Facility | Average Population | Maximum Capacity | Operating Capacity | Vacant | Percent Vacant |
|----------------|--------------------|------------------|--------------------|------------|----------------|
| High | 96 | 166 | 138 | 42 | 30.4% |
| Maximum | 437 | 466 | 409 | (28) | (6.8)% |
| Medium | 1,069 | 1,186 | 1,126 | 57 | 5.1% |
| Intake | 958 | 1,148 | 1,118 | 160 | 14.3% |
| Minimum | 405 | 710 | 708 | 303 | 42.8% |
| Women's | 125 | 213 | 173 | 48 | 27.7% |
| Average | 3,091 | 3,989 | 3,772 | 631 | 16.7% |

Institutional Corrections: Functions



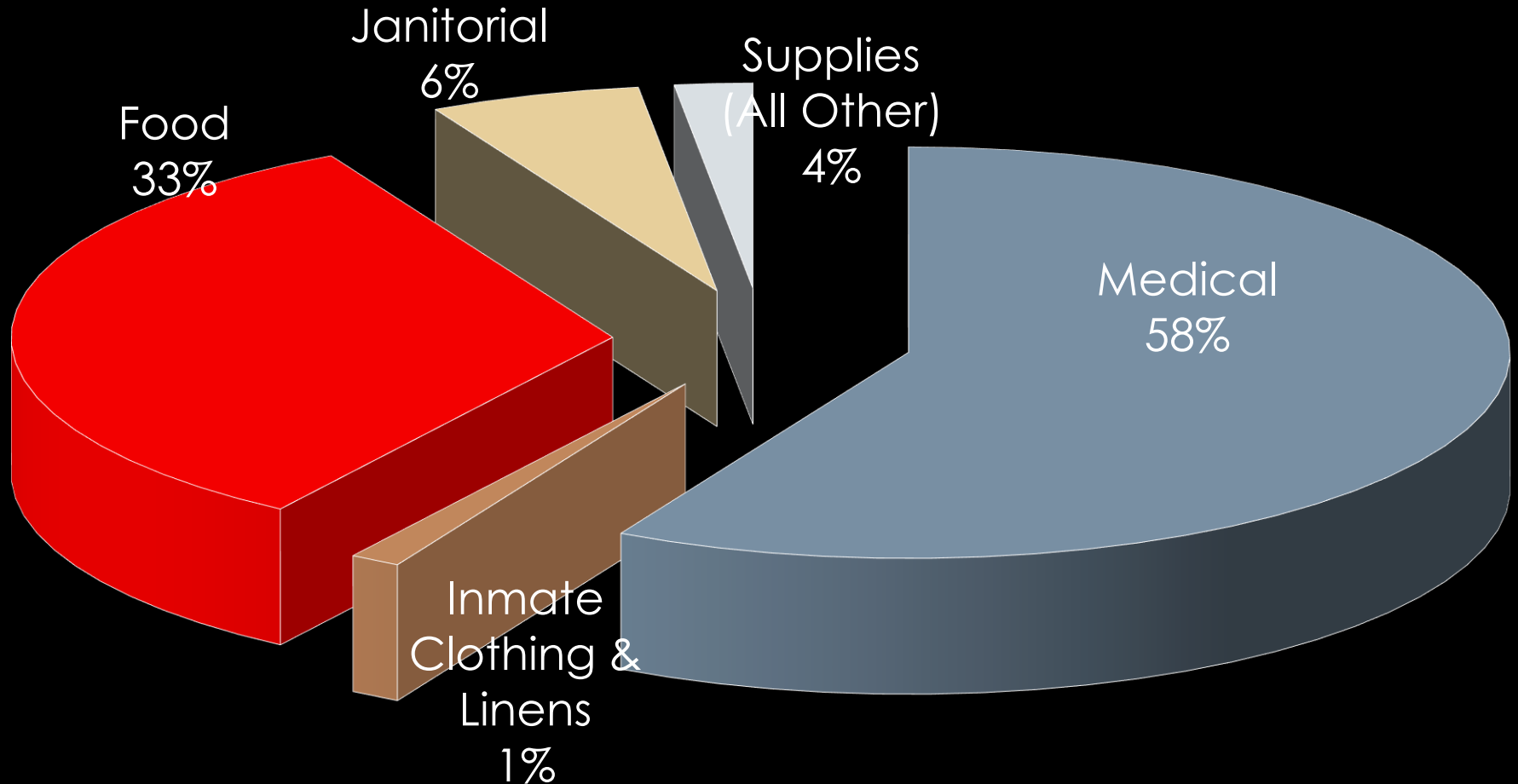
Institutional Corrections: Category



Population: Per Diem

- Population related expenditures that are calculated on a per diem basis, excluding staffing
 - Food, pharmaceuticals, clothes and linens
- 2016 enacted includes
 - \$4,501 per inmate
 - \$14.8 million

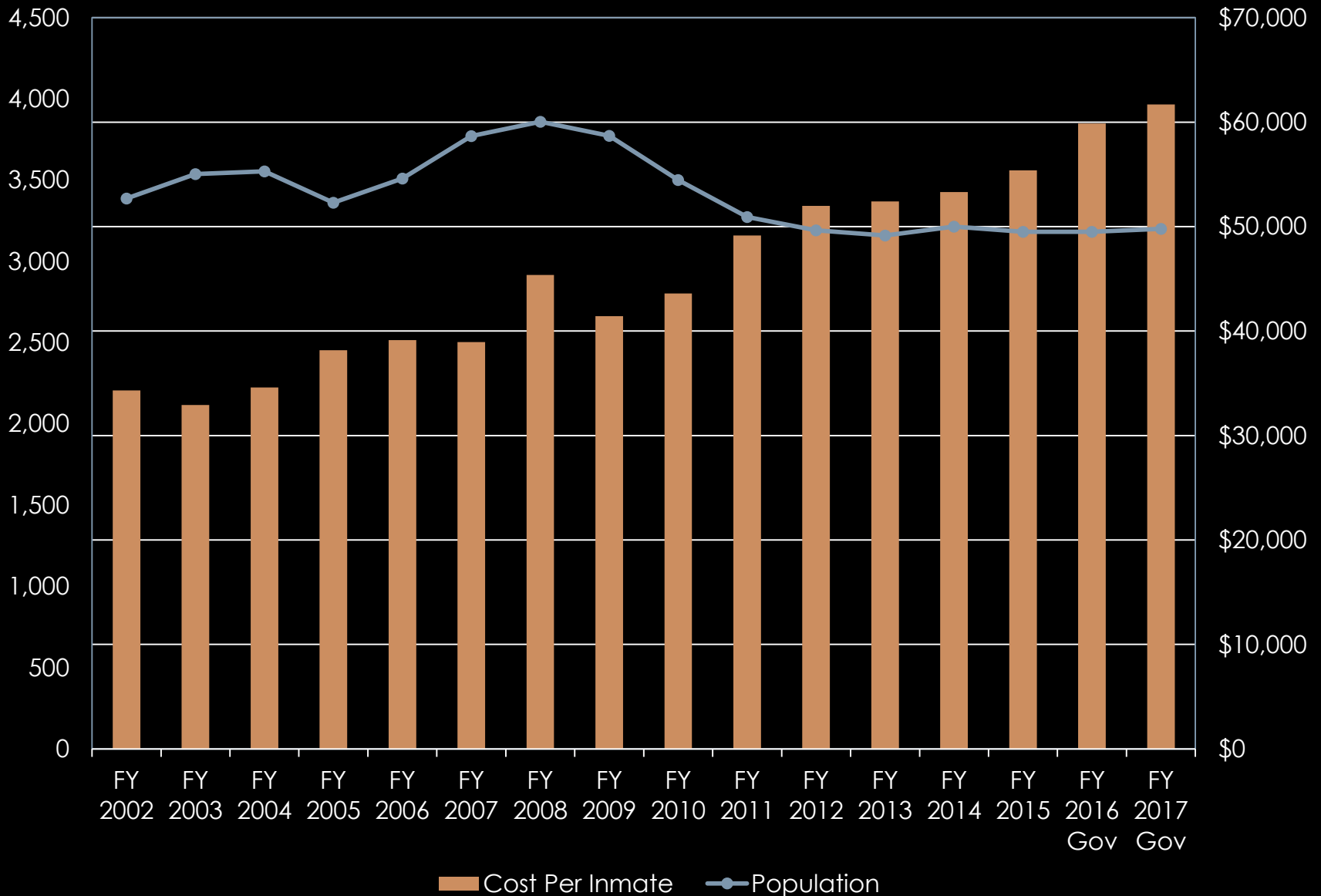
Population: Costs per Inmate



Annual Cost Per Inmate

| Year | Cost per Inmate | Change to Prior |
|-------------------|-----------------|-----------------|
| FY 2011 | \$3,681 | (\$76) |
| FY 2012 | \$4,117 | \$436 |
| FY 2013 | \$4,026 | (\$91) |
| FY 2014 | \$4,202 | \$176 |
| FY 2015 | \$4,595 | \$393 |
| FY 2016 Enacted | \$4,501 | (\$494) |
| FY 2016 Rev. Req. | \$4,773 | \$272 |
| FY 2017 Request | \$4,720 | (\$53) |
| FY 2017 Governor | \$4,512 | (\$208) |

Total Cost Per Inmate



Changes to Enacted

| General Revenues (in millions) | FY 2016 Rev. | FY 2017 |
|---|--------------|---------------|
| Per Diem Expenditures | \$0.3 | \$0.2 |
| Management of Pre-Trial Pop. - 5.0 FTEs | - | (0.1) |
| Discharge Planning – 8.0 FTEs | - | 0.1 |
| Eliminate Nursing Post | (0.1) | (0.1) |
| Institutional Corrections Staffing | 3.3 | 7.3 |
| CO Class | (0.6) | 0.5 |
| Other Staffing | 0.7 | 1.2 |
| Mental Health/Psychiatric Services | 0.4 | 0.5 |
| Opioid Treatment | - | 2.5 |
| Electronic Medical Records & MIS | 0.3 | 0.3 |
| Time Tracking | (0.4) | (0.4) |
| All Other | 0.2 | 0.4 |
| Total Changes | \$4.2 | \$12.5 |

Per Diem Expenditures

- \$15.3 million for FY 2016
 - \$0.3 million more than enacted
 - Based on FY 2015 experience for food, clothing, linens, medical
- \$15.1 million for FY 2017
 - \$0.2 million more than enacted
 - \$0.3 million less than requested
 - Reflects lower population assumptions

Staffing

Full-Time Equivalent Positions

| Full-Time Positions | FTEs | Chg. To Enacted |
|------------------------|---------|-----------------|
| Enacted Authorized | 1,419.0 | - |
| FY 2017 Request | 1,427.0 | 8.0 |
| FY 2017 Governor | 1,432.0 | 13.0 |
| FY 2015 Average Filled | 1,354.6 | (64.4) |
| Filled as of March 19 | 1,318.0 | (101.0) |

Management of Pretrial Population

- Proposal of the *Justice Reinvestment Working Group*
- Changes in management of pre-trial population through the use of diversion tools to decrease length of stay for failure to appear or pay
- No legislation associated with this initiative
 - Requires changes in practice by Judiciary

Management of Pretrial Population

- Executive Order 15-11 established Justice Reinvestment Working Group
 - Charged with examining “investments to break the cycle of crime and incarceration & improve public safety”
 - Focused on providing analyses to assist in providing options in policy changes or practices to create savings, reduce recidivism & increase public safety
 - Final report still not issued

Management of Pretrial Population

- Budget includes:
 - Savings of \$1.4 million from closing 1 double module at Intake Service Center
 - Offset by \$1.3 million and 5.0 new FTEs
 - 2 new probation officers
 - 3 dedicated to diversion & assessment activities
 - Contracted cognitive behavioral programs for estimated 800 individuals
 - Staff training, database programming & assessments
- No legislation associated with this proposal requested

Discharge Planning

- \$0.1 million and 8.0 FTEs
 - Shifting discharge planning from contracted services to direct personnel
 - Department reports difficulties with discharge planning agencies
 - Will keep contracts for specialized populations for medical and gang violence

| FY 2017 | (in millions) |
|-----------------------|---------------|
| 8.0 new FTEs | \$0.8 |
| Contract eliminations | (0.7) |
| Budget Impact | \$0.1 |

Eliminate Nursing Post

- Savings of \$64,178 in FY 2016 and \$129,417 from eliminating 1 nursing post at Gloria McDonald
 - Facility has 24-hour a day, 7 days a week health care coverage by nursing staff
 - Across all facilities there are 42.0 nurse FTE
- DOC corrective action plan proposal
 - Savings being achieved through alternate proposal on nursing staff

Institutional Corrections Staffing

- \$156.5 million for FY 2017 primarily from general revenues
 - \$7.3 million more than enacted & \$2.0 million less than requested
 - \$3.4 million for annualized value of the COLA
 - \$1.0 million for step increases
 - \$2.9 million to restore turnover/overtime
- FY 2016 recommendation is \$3.3 million more than enacted
 - Mostly overtime based on vacancies

COLA

- Contract with RIBCO not resolved
- Budget assumes they will receive a COLA consistent with other state employees
 - 2% increases effective April 6, 2014, October 5, 2014 and October 4, 2015
 - Retroactive amount of \$4.4 million for 1st 2 COLAs booked as a payable in FY 2015
 - Governor includes \$8.3 million and \$8.6 million for FY 2016 and FY 2017, respectively

CO Class

- Savings of \$0.6 million in FY 2016 from postponing the class
 - Delayed due to Dept. of Justice
 - Budget includes \$51,400 for contracted legal services associated with litigation
- FY 2017 recommendation: \$0.5 million to hire 45.0 new Correctional Officers for 2 pay periods at end of FY 2017
 - Assumes lawsuit is resolved

Other Staffing

- \$24.0 million for FY 2017 for 203.0 FTEs
 - \$1.2 million more than enacted from general revenues
 - Central management, Parole Board and Community Corrections
- FY 2016 revised recommendation is \$0.7 million more than enacted
 - Restores turnover equivalent to 7 positions

Mental Health/Psychiatric Services

- \$1.3 million for FY 2017
 - \$0.5 million more than enacted
 - New sex offender treatment contract
 - Psychiatric services for sentenced & awaiting trial inmates based on large number with mental health issues
 - Replacement of retiring on-call psychiatrist for nights/weekends at higher cost
- Additional \$0.4 million for FY 2016

Medication/Mediation Assisted Treatment

- \$2.5 million to begin new program in FY 2017 for approx. 2,475 eligible inmates
- For opioid users in the ACI
- Funding will be used to:
 - Screen for opioid use disorders & conduct assessment of new inmates to determine treatment options
 - Start medication-assisted treatment prior to release w/ community referral for ongoing treatment

Electronic Medical Records & MIS

- \$1.3 million for FY 2016 & FY 2017
 - \$0.3 million more than enacted
- \$0.6 million for electronic medical records system enhancements
 - Implement medication administration system to tighten controls
- \$0.7 million for programming changes & maintenance for inmate & probation/parole tracking databases

Time Tracking System

- Enacted funding of \$350,000 removed in both FY 2016 & FY 2017
- State recently contracted with a vendor to provide a statewide time & attendance system
- Department to develop cost estimate for on-going operating expenses once new system is implemented and operational

All Other Changes

- \$0.2 million for CO briefing time in both FY 2016 & FY 2017
 - Based on projected trends & new agreement to increase briefing time for CO lieutenants
- \$0.1 million for FY 2017 for new electronic weapons simulator
 - Training on when to shoot incorporated into weapons requalification process
- \$0.1 million for FY 2017 for increases to janitorial and office supplies

Capital Projects

- 8 capital projects totaling \$88.6 million
 - All funded from Rhode Island Capital Plan funds
- 1 new project – Correctional Facilities Study
 - \$250,000 for cost/benefit analysis for building a new prison to replace maximum security and high security centers with new facility
 - These 2 facilities are more expensive than other facilities

Capital Projects (in millions)

| Project | Status | 5-Year Total | Project Total | End Date |
|-------------------------------|---------|--------------|---------------|----------|
| Correctional Facilities Study | New | \$0.3 | \$0.3 | FY 2017 |
| Maximum General Renovations | Revised | \$2.9 | \$7.8 | FY 2020 |
| Intake Service Center | Revised | \$7.5 | \$9.1 | FY 2020 |
| Medium Infrastructure | Revised | \$20.0 | \$23.2 | FY 2020 |
| Dix Renovations | Revised | \$2.1 | \$4.7 | FY 2019 |
| Bernadette Guay | Revised | \$1.7 | \$3.0 | FY 2019 |

Capital Projects (in millions)

| Project | Status | 5-Year Total | Project Total | End Date |
|------------------|---------|---------------|---------------|-----------|
| Asset Protection | Ongoing | \$18.8 | \$36.5 | perpetual |
| Women's Facility | Ongoing | \$0.2 | \$4.1 | FY 2017 |
| Total | | \$53.2 | \$88.6 | |

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